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PATENT

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Applicant: Senarath et al.

Serial No.: 09/939,231

Filed: August 24, 2001

For: MULTIBEAM WIRELESS COMMUNICATIONS METHOD AND  
SYSTEM INCLUDING AN INTERFERENCE AVOIDANCE SCHEME  
IN WHICH THE AREA OF EACH TRANSMITTED BEAM IS DIVIDED  
INTO A PLURALITY OF SUB-AREAS

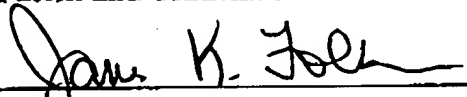
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Description: **REQUEST FOR CORRECTED PATENT APPLICATION  
PUBLICATION TO BE DELIVERED DIRECTLY TO  
MR. JON LACHEL**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMUNICATIONS METHOD AND  
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Appr. February 20, 1998

) *Jan. K. Lee*  
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REQUEST FOR CORRECTED PATENT APPLICATION PUBLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Pre-Grant Publication Division

Sir:

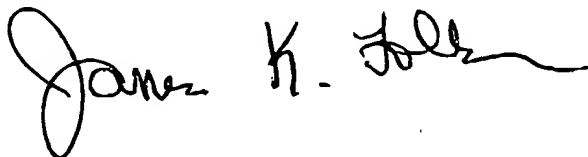
In accordance with 37 C.F.R. § 1.221(b), Applicants, through their attorneys,  
respectfully request that the above-identified Patent Application Publication be corrected.

It is the respectful opinion of the Applicants, through their attorneys, that the  
mistakes in concern hereto, are of a material nature to the patent application and were made  
through the actions of the United States Patent and Trademark Office and not the Applicants  
or their attorneys. Consequently, a fee to correct the published application should not be due  
by the Applicants.

Please correct the following mistakes, which have been circled on the attached pages, copied both from the published application and the original application as filed. First, on page 8, line 7 of the published application, claim 8 mistakenly says, "...if  $2R_1 > R_2$  and  $r_1 > r_4$ ...". While, according to page 24 of the original application as filed, claim 8, line 6 says, "...if  $2R_1 \geq R_2$  and  $r_1 \geq r_4$ ...". This mistake is material, because it changes a mathematical symbol in a condition in the claim and would, likewise, change the affect of the claim. Secondly, on page 10, line 43 of the published application, claim 32 says by mistake, "...signals transmitted during a first time period ( $T_i$ ) are ...". Whereas, according to page 34 of the original application, claim 32, line 12 says, "...signals transmitted during a first time period ( $T_1$ ) are...". Again, this mistake is material, because it confuses the reference time period of ( $T_1$ ) with a time period having a different designation and, likewise, does not properly identify the first time period in the claim.

Respectfully submitted,  
GREER, BURNS & CRAIN, LTD.

By



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a rotation position based on the following criteria, wherein, for a given mobile, the best rates from all the beams that can be supported in said time slots T1, T2, T3, T4, T5 and T6 are, respectively, r1, r2, r3, r4, r5 and r6, and further wherein  $R1 = \max(r1, r4)$  and  $R2 = \max(r2, r3, r5, r6)$ :

\* if  $2R1 > R2$  and  $r1 > r4$ , then mobile unit is served in said sub-area G1<sub>1</sub>, G1<sub>2</sub>, G1<sub>3</sub> or G1<sub>4</sub>;

if  $2R1 \geq R2$  and  $r1 < r4$ , then mobile unit is served in said sub-area RG1<sub>1</sub>, RG1<sub>2</sub>, RG1<sub>3</sub> or RG1<sub>4</sub>;

if  $2R1 < R2$  and  $\max(r2, r3) > \max(r5, r6)$  and  $r2 \geq r3$ , then mobile unit is served in said sub-area G2<sub>1</sub> or G2<sub>4</sub>;

if  $2R1 < R2$  and  $\max(r2, r3) > \max(r5, r6)$  and  $r2 < r3$ , then mobile unit is served in said sub-area G2<sub>2</sub> or G2<sub>3</sub>;

if  $2R1 < R2$  and  $\max(r2, r3) \leq \max(r5, r6)$  and  $r5 \geq r6$ , then mobile unit is served in said sub-area RG2<sub>1</sub> or RG2<sub>4</sub>; and

if  $2R1 < R2$  and  $\max(r2, r3) \leq \max(r5, r6)$  and  $r5 < r6$ , then mobile unit is served in said sub-area RG2<sub>2</sub> or RG2<sub>3</sub>.

9. A wireless communications system comprising:

at least four beam formers arranged within a cellular communications network, said beam formers including a first beam former for transmitting a first beam (B1) into a first area; and a second beam former for transmitting a second beam (B2) into a second beam area, where said second beam area is adjacent said first beam area, and a third beam former for transmitting a third beam (B3) into a third beam area and a fourth beam former for transmitting a fourth beam (B4) into a fourth beam area, where said fourth beam area is adjacent said third beam area;

a mobile switching center for controlling signals transmitted from said at least four beam formers, including sending coded signals along said beams B1, B2, B3 and B4 such that:

each of said first, second, third and fourth beam areas are effectively divided into at least two sub-areas such that said first beam area includes sub-areas G1<sub>1</sub> and G2<sub>1</sub>, said second beam area includes sub-areas G1<sub>2</sub> and G2<sub>2</sub>, said third beam area includes sub-areas G1<sub>3</sub> and G2<sub>3</sub>, and said fourth beam area includes sub-areas G1<sub>4</sub> and G2<sub>4</sub>; and

wherein a group of frequencies are assigned to all of said beam areas within a single cell;

further wherein said assigned group of frequencies is divided such that half of said assigned group of frequencies serve mobile units located within sub-areas G1<sub>1</sub>, G1<sub>2</sub>, G1<sub>3</sub> and G1<sub>4</sub>, and the other half of said assigned group of frequencies serve mobile units located within sub-areas G2<sub>1</sub>, G2<sub>2</sub>, G2<sub>3</sub> and G2<sub>4</sub>.

10. The wireless communications system according to claim 9, wherein:

the group of frequencies assigned to sub-areas G2<sub>1</sub>, G2<sub>2</sub>, G2<sub>3</sub> and G2<sub>4</sub> is again divided in half, with one sub-group of this group being assigned to sub-areas G2<sub>1</sub> and G2<sub>4</sub> and the other sub-group being assigned to sub-areas G2<sub>2</sub> and G2<sub>3</sub>.

11. The wireless communications system according to claim 9,

said sub-area G1<sub>1</sub> begins near an apex of said first area and extends generally down a center of said first area, and said sub-area G2<sub>1</sub> is located outside of said sub-area G1<sub>1</sub>; and

said sub-area G1<sub>2</sub> begins near an apex of said second area and extends generally down a center of said second area, and said sub-area G2<sub>2</sub> is located outside of said sub-area G1<sub>2</sub>.

12. The wireless communications system according to claim 9, wherein

said beams B1, B2, B3 and B4 are each rotated by half of the average beamwidth of all of the beams, thereby creating new sub-areas RG1<sub>1</sub> and RG2<sub>1</sub> in said first beam area, new sub-areas RG1<sub>2</sub> and RG2<sub>2</sub> in said second beam area, new sub-areas RG1<sub>3</sub> and RG2<sub>3</sub> in said third beam area and new sub-areas RG1<sub>4</sub> and RG2<sub>4</sub> in said fourth beam area, so that each mobile now has the option of selecting from either the rotated beams or the original beams, giving rise to more directed beams for the mobiles, thereby increasing both coverage and overall throughput; and

further wherein each of said new sub-areas RG1<sub>1</sub>, RG2<sub>1</sub>, RG1<sub>2</sub>, RG2<sub>2</sub>, RG1<sub>3</sub>, RG2<sub>3</sub>, RG1<sub>4</sub> and RG2<sub>4</sub> are served by different frequencies than said sub-areas G1<sub>1</sub>, G1<sub>2</sub>, G1<sub>3</sub>, G2<sub>1</sub>, G2<sub>2</sub>, G1<sub>4</sub> and G2<sub>4</sub>.

13. A method for reducing interference in a wireless system including at least two beam formers and a plurality of mobile units, the method comprising the steps of:

transmitting a first beam (B1) from a first beam former into a first area, defining two sub-areas within said first area as sub-area G1<sub>1</sub> and sub-area G2<sub>1</sub>;

transmitting a second beam (B2) from a second beam former into a second area, defining two sub-areas within said second area as sub-area G1<sub>2</sub> and sub-area G2<sub>2</sub>;

coding signals of said beams B1 and B2 for receipt by a particular mobile unit based upon whether the particular mobile unit is located within said sub-area G1<sub>1</sub>, said sub-area G2<sub>1</sub>, said sub-area G1<sub>2</sub> or said sub-area G2<sub>2</sub>, such that:

during a first time period (T1), making simultaneous transmissions from both said first and second beam formers for receipt by mobile units located, respectively, within said sub-area G1<sub>1</sub>, or within said sub-area G1<sub>2</sub>;

during a second time period (T2), making transmissions from said first beam former for receipt by mobile units located within said sub-area G2<sub>1</sub>; and

during a third time period (T3), making transmissions from said second beam former for receipt by mobile units located within said sub-area G2<sub>2</sub>.

14. The method according to claim 13, wherein:

said first area is adjacent to said second area;

said sub-area G1<sub>1</sub> begins near an apex of said first area and extends generally down a center of said first area, and said sub-area G2<sub>1</sub> is located outside of said sub-area G1<sub>1</sub>; and

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1                   6.     The wireless communications system according to Claim 1, wherein  
2                   said beams B1, B2, B3 and B4 are each rotated by a portion of their  
3                   beamwidth that is approximately equal to  $1/n$ th of the average beamwidth, where  $n$  is the  
4                   total number of rotated positions for each beam, thereby creating new sub-areas, and  
5                   further wherein said new sub-areas are served by time periods other than  
6                   said first, second and third time periods.

1                   7.     The wireless communications system according to Claim 5, wherein:  
2                   during a fourth time period (T4), simultaneous transmissions are made for  
3                   receipt by mobile units located within said sub-areas RG1<sub>1</sub>, RG1<sub>2</sub>, RG1<sub>3</sub> and RG1<sub>4</sub>;  
4                   during a fifth time period (T5), transmissions are made for receipt by mobile  
5                   units located within said sub-areas RG2<sub>1</sub> and RG2<sub>4</sub>; and  
6                   during a sixth time period (T6), transmissions are made for receipt by  
7                   mobile units located within said sub-areas RG2<sub>2</sub> and RG2<sub>3</sub>.

1                   8.     The wireless communications system according to Claim 7, wherein  
2                   each mobile unit is assigned to a beam and a rotation position based on the following  
3                   criteria, wherein, for a given mobile, the best rates from all the beams that can be  
4                   supported in said time slots T1, T2, T3, T4, T5 and T6 are, respectively,  $r_1$ ,  $r_2$ ,  $r_3$ ,  $r_4$ ,  $r_5$   
5                   and  $r_6$ , and further wherein  $R_1 = \max(r_1, r_4)$  and  $R_2 = \max(r_2, r_3, r_5, r_6)$ :

\* 6                   if  $2R_1 \geq R_2$  and  $r_1 \geq r_4$ , then mobile unit is served in said sub-area G1<sub>1</sub>,  
7                   G1<sub>2</sub>, G1<sub>3</sub> or G1<sub>4</sub>;

8                   if  $2R_1 \geq R_2$  and  $r_1 < r_4$ , then mobile unit is served in said sub-area RG1<sub>1</sub>,  
9                   RG1<sub>2</sub>, RG1<sub>3</sub> or RG1<sub>4</sub>;

10                   if  $2R_1 < R_2$  and  $\max(r_2, r_3) > \max(r_5, r_6)$  and  $r_2 \geq r_3$ , then mobile unit  
11                   is served in said sub-area G2<sub>1</sub> or G2<sub>4</sub>;

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each of said beam areas includes at least one non-overlapping sub-area and at least two overlapping sub-areas, further defined as a first overlapping sub-area and a second overlapping sub-area;

comparing the strength of each beam signal within a particular sub-area to determine whether a particular mobile unit is located within said non-overlapping sub-area, said first overlapping sub-area or said second overlapping sub-area.

26. The method according to claim 25, further comprising the steps of:

determining that a particular mobile unit is located within said first overlapping sub-area if the strength of all beam signals but one are less than a threshold value Y1;

determining that a particular mobile unit is located within said first overlapping sub-area if the difference between signal strengths from adjacent beams is less than a threshold value Y2, and the signal strength of said two adjacent beams combined is greater than a threshold value Y3; and

determining that a particular mobile unit is located within said second overlapping sub-area if the difference between signal strengths from adjacent beams is less than said threshold value Y3.

27. The method according to claim 26, wherein said threshold values Y1, Y2 and Y3 are all different values from each other.

28. The method according to claim 23, further comprising the steps of:

effectively dividing each of said first, second, third and fourth beam areas into at least two sub-areas such that said first beam area includes sub-areas G1<sub>1</sub> and G2<sub>1</sub>, said second beam area includes sub-areas G1<sub>2</sub> and G2<sub>2</sub>, said third beam area includes sub-areas G1<sub>3</sub> and G2<sub>3</sub>, and said fourth beam area includes sub-areas G1<sub>4</sub> and G2<sub>4</sub>; and

assigning a group of frequencies to all of said beam areas within a single cell;

dividing said assigned group of frequencies such that half of said assigned group of frequencies serve mobile units located within sub-areas G1<sub>1</sub>, G1<sub>2</sub>, G1<sub>3</sub> and G1<sub>4</sub>, and the other half of said assigned group of frequencies serve mobile units located within sub-areas G2<sub>1</sub>, G2<sub>2</sub>, G2<sub>3</sub> and G2<sub>4</sub>.

29. The method according to claim 23, further comprising the steps of dividing the group of frequencies assigned to sub-areas G2<sub>1</sub>, G2<sub>2</sub>, G2<sub>3</sub> and G2<sub>4</sub> in half again, and assigning one sub-group of this group to sub-areas G2<sub>1</sub> and G2<sub>4</sub>, and assigning the other sub-group to sub-areas G2<sub>2</sub> and G2<sub>3</sub>.

30. A beam forming apparatus for use with a wireless communication system, said beamforming apparatus comprising:

means for transmitting a beam into a first area and for defining two sub-areas within said first area as sub-area G1 and sub-area G2;

means for coding signals of said beam for receipt by a particular mobile unit based upon whether the particular mobile unit is located within said sub-area G1 or said sub-area G2 such that:

during a first time period (T1), making transmissions from said beam former for receipt by mobile units located within said sub-area G1, and

during a second time period (T2), making transmissions from said first beam former for receipt by mobile units located within said sub-area G2.

31. The beam forming apparatus according to claim 30, wherein a mobile unit is assigned to one of said sub-areas G1 or G2 by:

measuring the carrier-to-interference ratio (C/I) for a mobile unit during a 4/4 cycle to define a first rate;

measuring the carrier-to-interference ratio (C/I) for a mobile unit during a 2/4 cycle to define a second rate; and

comparing said first rate to said second rate, and if said second rate is larger than twice said first rate, assigning said mobile unit to said sub-area G2, otherwise said mobile unit is assigned to said sub-area G1.

32. A system of signals for use in a wireless communications system including at least a first beam former and a second beam former and a plurality of mobile units, the signals comprising:

signals transmitted from the first beam former into a first area, where said first area is divided into at least two sub-areas defined as sub-area G1<sub>1</sub> and sub-area G2<sub>1</sub>;

signals transmitted from the second beam former into a second area, where said second area is divided into at least two sub-areas defined as sub-area G1<sub>2</sub> and sub-area G2<sub>2</sub>;

coding said signals from said first and second beam formers for receipt by a particular mobile unit based upon whether the particular mobile unit is located within said sub-area G1<sub>1</sub>, said sub-area G2<sub>1</sub>, said sub-area G1<sub>2</sub> or said sub-area G2<sub>2</sub>, such that:

signals transmitted during a first time period (T1) are transmitted simultaneously from both said first and second beam formers for receipt by mobile units located, respectively, within said sub-area G1<sub>1</sub>, or within said sub-area G1<sub>2</sub>;

signals transmitted during a second time period (T2) are transmitted from said first beam former for receipt by mobile units located within said sub-area G2<sub>1</sub>; and

signals transmitted during a third time period (T3) are transmitted from said second beam former for receipt by mobile units located within said sub-area G2<sub>2</sub>.

33. The system of signals according to claim 32, wherein:

said first area is adjacent to said second area;

said sub-area G1<sub>1</sub> begins near an apex of said first area and extends generally down a center of said first area, and said sub-area G2<sub>1</sub> is located outside of said sub-area G1<sub>1</sub>; and

said sub-area G1<sub>2</sub> begins near an apex of said second area and extends generally down a center of said second area, and said sub-area G2<sub>2</sub> is located outside of said sub-area G1<sub>2</sub>.

34. The system of signals according to claim 32, wherein said beams B1, B2, B3 and B4 are each rotated by a portion

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6 signals transmitted from the second beam former into a second area, where  
7 said second area is divided into at least two sub-areas defined as sub-area G1<sub>2</sub> and sub-  
8 area G2<sub>1</sub>;

9 coding said signals from said first and second beam formers for receipt by  
10 a particular mobile unit based upon whether the particular mobile unit is located within  
11 said sub-area G1<sub>1</sub>, said sub-area G2<sub>1</sub>, said sub-area G1<sub>2</sub> or said sub-area G2<sub>2</sub>, such that:

12 signals transmitted during a first time period (T1) are transmitted \*  
13 simultaneously from both said first and second beam formers for receipt by mobile units  
14 located, respectively, within said sub-area G1<sub>1</sub>, or within said sub-area G1<sub>2</sub>;

15 signals transmitted during a second time period (T2) are transmitted  
16 from said first beam former for receipt by mobile units located within said sub-area G2<sub>1</sub>;  
17 and

18 signals transmitted during a third time period (T3) are transmitted  
19 from said second beam former for receipt by mobile units located within said sub-area  
20 G2<sub>2</sub>.

1 33. The system of signals according to Claim 32, wherein:  
2 said first area is adjacent to said second area;  
3 said sub-area G1<sub>1</sub> begins near an apex of said first area and extends  
4 generally down a center of said first area, and said sub-area G2<sub>1</sub> is located outside of said  
5 sub-area G1<sub>1</sub>; and

6 said sub-area G1<sub>2</sub> begins near an apex of said second area and extends  
7 generally down a center of said second area, and said sub-area G2<sub>2</sub> is located outside of  
8 said sub-area G1<sub>2</sub>.

1 34. The system of signals according to Claim 32, wherein said beams  
2 B1, B2, E3 and B4 are each rotated by a portion of their respective beamwidths, thereby